



U.S. Department of Transportation Federal Transit Administration



North Carolina Annual Community Transportation Conference Raleigh, NC November 8, 2011

FY 2011 FTA Updates

David Schilling, State Programs Team Leader



Agenda

- North Carolina FY 2010 Funding/
Accomplishments
- North Carolina FY 2011 Funding
- FTA Organizational Updates
- Programmatic Updates
- Planning and Program Development
- Program Management and Oversight
- Discretionary Programs
- Civil Rights
- Coordinated Planning





North Carolina FY 2010 Funding

FY 2010 Grant Awards – North Carolina

FY 2010 Grant Awards – Region IV

(Table does not include ARRA awards)

UZA	Total Grants	Total Obligations
Alabama	21	\$38,613,119
Florida	82	\$344,475,774
Georgia	36	\$184,155,452
Kentucky	24	\$39,546,574
Mississippi	7	\$25,347,054
North Carolina	49	\$120,513,686
Puerto Rico	16	\$48,979,560
South Carolina	29	\$40,215,106
Tennessee	47	\$79,135,319
Virgin Islands	0	-
TOTALS	311	\$920,981,644

Formula and Discretionary - 49 Grants - \$120.5M

ARRA - 13 Grants - \$16.7M





North Carolina FY 2010 Funding

FY 2010 Lapsed Funds:

- FY 2008 Job Access Reverse Commute
 - Small Urban - \$647,174
 - Rural - \$3,930
- FY 2008 New Freedom
 - Small Urban - \$691,043
 - Rural - \$869,253
- These funds lapsed on 9/30/2010!





North Carolina FY 2010 Accomplishments

FY 2010 Kudos:

- All North Carolina ARRA funds obligated
- New NCDOT subrecipient oversight process
- New Transit Facilities:
 - Raleigh (CST)
 - Greensboro (CST)
 - Boone, AppalCart (CST)
 - Cape Fear (CST)
 - Fayetteville (ROW)
 - Goldsboro (PE)
 - Greenville (NEPA)





North Carolina FY 2011 Lapsing/ Carry-Over Funding

SECTION	FORMULA PROGRAM	AVAILABLE AMOUNT	FUNDS WILL LAPSE AS OF
FY2008 - 5307	Urbanized Area Formula	\$ 4,866,632	9/30/2011
FY2009 - 5316	JARC - Small Urban	\$ 1,168,581	9/30/2011
FY2009 - 5316	JARC - Rural	\$ 1,846,355	9/30/2011
FY2009 - 5317	New Freedom - Small Urban	\$ 831,824	9/30/2011
FY2009 - 5317	New Freedom - Rural	\$ 1,111,789	9/30/2011
FY2009 - 5311	RTAP	\$ 95,024	9/30/2011
FY2009 - 5310	E&H	\$ 3,676,346	9/30/2011
FY2009 - 5307	Urbanized Area Formula	\$ 13,015,823	9/30/2012
FY2009 - 5307	FHWA-CMAQ	\$ 70,000	9/30/2012
FY2010 - 5316	JARC - Small Urban	\$ 1,117,301	9/30/2012
FY2010 - 5316	JARC - Rural	\$ 1,765,332	9/30/2012
FY2010 - 5317	New Freedom - Small Urban	\$ 816,386	9/30/2012
FY2010 - 5317	New Freedom - Rural	\$ 1,091,153	9/30/2012
FY2010 - 5311	Non Urbanized Area Formula	\$ 14,306,744	9/30/2012
FY2010 - 5311	RTAP	\$ 288,358	9/30/2012
FY2010 - 5310	E&H	\$ 3,820,085	9/30/2012
FY2010 - 5303	MPO	\$ 413,420	9/30/2013
FY2010 - 5304	STATE	\$ 269,826	9/30/2013
FY2010 - 5307	Urbanized Area Formula	\$ 13,823,379	9/30/2013





North Carolina FY 2011 Funding

North Carolina Earmarks – FY2009

FEDERAL TRANSIT ADMINISTRATION					
FY 2009 Section 5309 Bus and Bus Facility Allocations					
State	Earmark ID	SAFETEA-LU Project No.	Project	Allocation	Status of Funds
NC	E2009-BUSP-533	154	City of Greenville, NC Expansion Buses and Greenville Intermodal Center	804,466	will lapse 9/30/2011
NC	E2009-BUSP-541	594	North Carolina Department of Transportation-North Carolina Statewide Bus and Bus Facilities (NC-04-0033-00 \$929,845)	5,926,155	will lapse 9/30/2011
NC	E2009-BUSP-544	134	Town of Chapel Hill, NC Park and Ride Lot	338,580	will lapse 9/30/2011





Region IV Office /Partnership with North Carolina

North Carolina FTA Contacts:

- Pre Award - Planning, NEPA, Grant Making
 - Myra Immings, Community Planner,
myra.immings@dot.gov, 404.865.5608

- Post Award and ARRA - Grant and Project Management, Oversight
 - Guanying “George” Lei, General Engineer,
guanying.lei@dot.gov, 404.865.5615

- NCDOT
 - David Schilling, State Programs Team Leader,
david.schilling@dot.gov, 404-865-5645





FTA Organizational Update

Geographic Assignments

State	Planning & Development	Management & Oversight	ARRA Management & Oversight
Alabama	Holly Peterson**	Guanying Lei	Guanying Lei***
Kentucky	Robert Buckley	David Mucher	Ted Schmidt
Mississippi	Valencia Williams	Brandy Smith	Ted Schmidt
North Carolina*	Myra Immings	Guanying Lei	Guanying Lei***
Puerto Rico	Abigail Rivera	Jessica Granell	Francis Alomia***
South Carolina	Holly Peterson**	Brandy Smith	Ted Schmidt
Tennessee	Elizabeth Martin	David Mucher	Ted Schmidt

*Charlotte, NC has been included on the Large TMA team for planning and grants (see below)

**From 11/1 - 2/1, while Holly is on leave, Andres Ramirez will be assigned to AL and Abigail Rivera to SC.

***ARRA Oversight Temporary Assignments

Pilot Team (GA, FL, Charlotte)	Planning & Environment	Grant Development & Management	Engineering & Oversight	Additional Grant & Oversight Support
Larger TMAs Atlanta, Charlotte, Jacksonville, Miami, Orlando, Tampa	Keith Melton	Tajsha Lashore	Margarita Sandberg (except Charlotte)	Francis Alomia
Smaller TMAs and Small UZAs Augusta, Columbus Savannah, all other FL TMAs, Small UZAs	Parris Orr	Darreyl Davis	Christopher White	Francis Alomia
ARRA Management & Oversight	Florida Districts 2, 3, 4, 5 & 6		Francis Alomia	
	Georgia and Florida Districts 1 & 7		Ted Schmidt	

State DOT Team	Planning / Development & Management / Oversight	ARRA Management & Oversight
ALDOT / ADSS	Andres Ramirez	Guanying Lei***
FDOT	Andres Ramirez	Francis Alomia
GDOT, GDHR	Robert Buckley	Francis Alomia
KYTC	Robert Buckley	Ted Schmidt
MSDOT	Valencia Williams	Ted Schmidt
NCDOT	David Schilling	Guanying Lei***
SCDOT	David Schilling	Ted Schmidt
TDOT	Valencia Williams	Ted Schmidt
Virgin Islands	David Schilling	Francis Alomia

Regional Resources

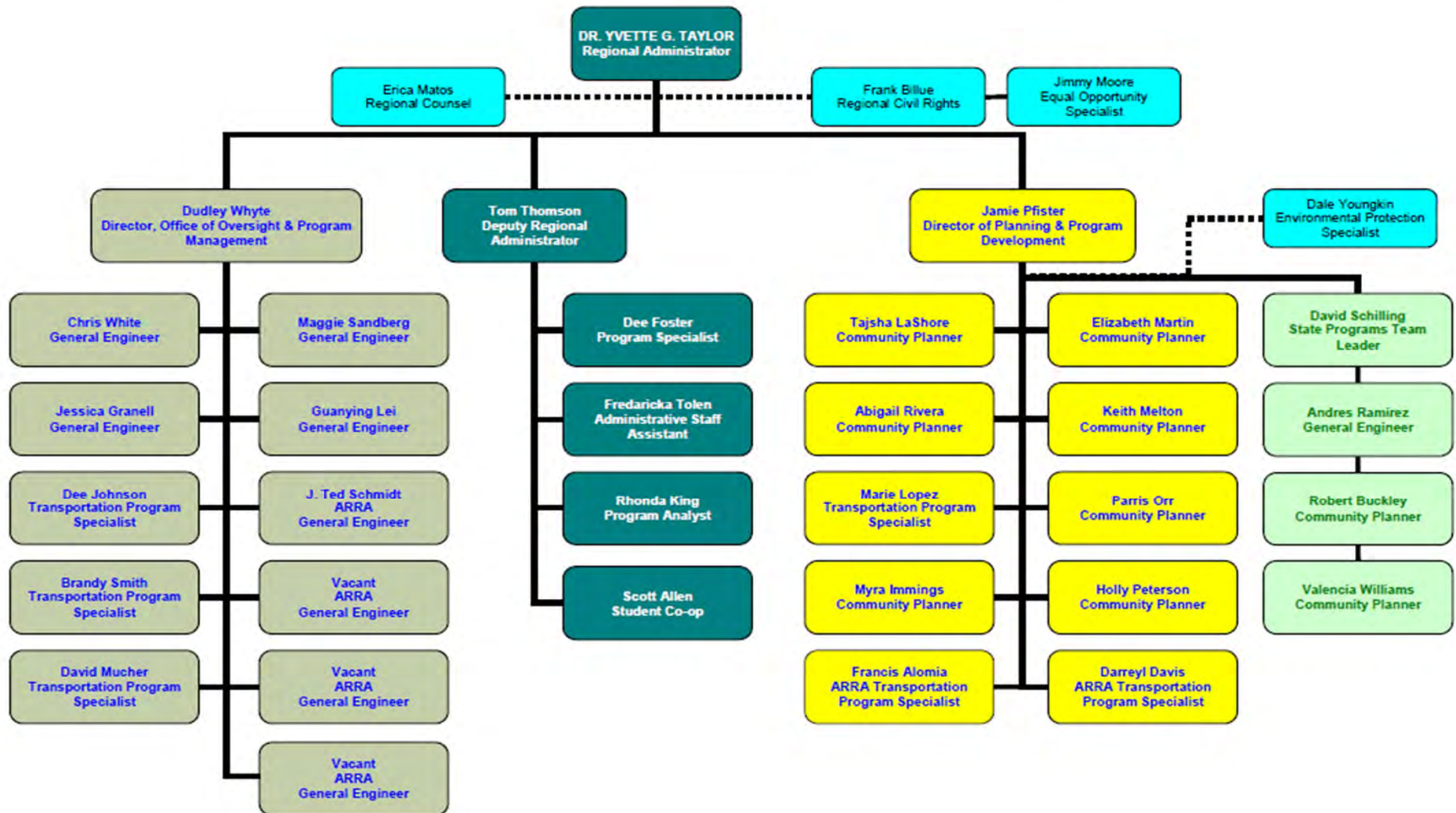
Regional Counsel (Legal)	Erica Mattos	
Regional Civil Rights Officer	Frank Billue	Jimmy Moore
Environmental Protection Specialist	Dale Youngkin	(vacant)
Financial (Reservations, Obligations)	Marie Lopez	
ECHO, Oversight Reviews	Dee Johnson	

Effective 11/1/2010



FTA Organizational Update

Federal Transit Administration – Region IV Organizational Chart





Programmatic Updates

SAFETEA-LU/Continuing Resolution (CR)

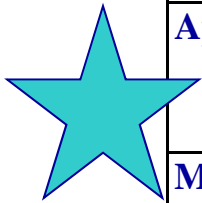
- CR enacted on September 30
- Good through December 3, 2010
- Funding at FY2010 levels
- Surface Transportation Authorization expires on December 31, 2010





Planning and Program Development

October 29, 2010	<p>TEAM opened:</p> <ul style="list-style-type: none"> • Execute FY 2010 Awards • Pin FY 2011 Certifications and Assurances • Update Recipient Information Screen, which includes contact info for agency personnel • Check the Civil Rights screen to prevent delays from lapsed/expired programs • Begin entering Draft Grants for carryover balances, if known
November 8, 2010	Carryover notifications emailed by FTA to grantees and cc to MPOs
December 1, 2010	Response due to FTA regarding carryover and lapsing fund applications
April 29, 2011	<p>Region IV <u>lapsing grant deadline for all draft grants requiring DOL</u> certification to be entered in TEAM and under FTA to review</p> <ul style="list-style-type: none"> • After you have ensured that the draft application has been entered accurately and completely, please notify your FTA representative to review the application.
May 31, 2011	<p><u>Region IV lapsing grant deadline for submission to DOL.</u></p> <ul style="list-style-type: none"> • Grant submissions for lapsing funds after this date will be handled on a case-by-base basis and may not make it through the grant review process in sufficient time to obligate during the FY. • DOL can take up to 30-60 days. • Following DOL certification, discretionary grants will be sent for Congressional Release (which can take 30-60 days).
June – July, 2011	<p>Review and processing of current year and non-lapsing Grant Applications and non-DOL grant applications; Discretionary Grants in Release</p> <ul style="list-style-type: none"> • We will prioritize the carryover and new FY apportionment application reviews during this period, particularly if the apportionment notice is late (FY 2010 was published in May 2010).
July 31, 2011	<u>Review IV grant deadline for non-DOL grant applications.</u>
August – September, 2011	<p>Obligations, Awards, and Execution of Grants</p> <ul style="list-style-type: none"> • During this time, we expect to obligate and award the funding that has been in process since May. This will include grants returning from DOL and if discretionary funding, following their release.





Planning and Program Development

FY 2011 Certifications & Assurances

- Published in Federal Register on 11/2/2010
- Grantees have 90 days to certify
- Not accepting paper certifications: Needs to be done in TEAM.
 - Both the Certifying Official and Attorney need to enter their PIN #'s in TEAM.
- If one person can certify for both:
 - Need to attach documentation to TEAM that demonstrates the C&A's have been reviewed by both parties.





Planning and Program Development

Governor's Apportionment Recipients/ Supplemental Agreements

- Supplemental Agreements required when:
 - Direct Recipient applies directly to FTA
 - The Direct Recipient is not a Designated Recipient
- Always required, but not universally enforced until this year (FL & PR).
- Benefits:
 - Allows for better tracking of apportionment obligations
 - Helps prevent lapsing funds





Planning and Program Development

TEAM Training

- New and Improved TEAM Training is here!
- Will be utilizing webinar technology for more consistent training throughout Region IV
- First Dates:
 - Grant Development Webinar:
 - Tuesday, December 7 @ 10am
 - Program Management Webinar:
 - Thursday, December 16 @ 10am
- Specific details to come!





Planning and Program Development

Planning Certification Reviews

- 13 Scheduled this FY in Region IV
- North Carolina Reviews
 - Charlotte and Durham
 - Dates and times are TBD





Planning and Program Development

Environmental Program Updates

- Region IV will soon have 2 Regional Environmental Program Specialists - Dale Youngkin and TBD
- They are a resource that can be tapped early for:
 - Determining appropriate classes of action
 - Participate in Environmental scoping
 - Provide technical assistance throughout NEPA process
- Please engage these resources early in the NEPA process.





Planning and Program Development

Proposed Urban Area Criteria for the 2010 Census

- US Census Bureau published the Proposed Urban Area Criteria for the 2010 Census on August 24, 2010
 - Criteria for defining urban areas based on the 2010 Census
 - <http://www.gpo.gov/fdsys/pkg/FR-2010-08-24/pdf/2010-20808.pdf>
- Has potential to impact a number of FTA grantees, so please review and provide comments if applicable.





Planning and Program Development

FY 2010 Lessons Learned

- Annual apportionment notice later and later – start working on carryover lapsing NOW
- Eligibility: Confirm for JARC/NF and Earmarks
- TIP/STIP
 - Properly program projects in TIP/STIPs
 - Ensure projects carryover with any TIP/STIP updates
 - Ensure Flex funds in STIP
 - Ensure JARC/NF lump sums included in TIPs
- Grant Amendments
 - When possible add to existing applications





Program Management and Oversight

2011 State Management Reviews

- 4 State Management Reviews (**NCDOT**)
 - SMR Workshop – Raleigh, NC – December 14-15
- Focus areas of the reviews include:
 - Financial
 - Procurement
 - Buy America
 - NTD
 - Preventative Maintenance





Program Management and Oversight

American Recovery and Reinvestment Act (ARRA)

- All ARRA dollars have been obligated!
- No amendments currently allowed (September 30, 2010)
- Budget Revisions are limited to those not requiring prior FTA approval per 5010.1D





Program Management and Oversight

ARRA Reporting and Oversight

- Continue Implementing your ARRA Projects
- Continue Reporting per Statutory Deadlines
 - ARRA FFR/MPRs – due 30 days after the end of each quarter
 - 1512s – due 30 days after the end of each quarter
 - 1201(c) – due February 17, 2011
- ARRA Reporting Website
 - http://www.fta.dot.gov/index_9440_10542.html





Program Management and Oversight

Quarterly Federal Financial Report & Milestones Progress

- Quarterly FFR/MPRs are due 30 days after the end of the quarter (NCDOT)
 - Jan 30, April 30, July 30, and Oct 30
- Please ensure to get financial and project information to the DOT in a time for timely submittals
- MPRs should be detailed; include reasons for any delays





Program Management and Oversight

Friendly Reminders

Procurement – Complete independent cost and price analyses; Adhere to Buy America requirements

Financial – Watch overdraws, please contact us immediately if this happens

Preventive Maintenance (PM) - Follow NTD standards - cost should reflect the numbers submitted; PM is only for year of expenditure or the immediate preceding year; do not roll over PM funds.





Discretionary Programs

- In Fiscal Year 2010, FTA provided over **\$1.25B** in discretionary funding.
- To date, the Urban Circulator, Bus and Bus Facility Livability, State of Good Repair, Clean Fuels, TIGGER II and TIGER2 Program awards have been announced.
- The State of Good Repair Program is the largest single allocation of discretionary funding in FTA's history.





Discretionary Programs

PROGRAMS AWARDED

- Urban Circulator Program - \$150M
- Bus/Bus Facility Livability Program - \$150M
- State of Good Repair - \$775M
- Clean Fuels/Bus and Bus Facilities - \$81M
- TIGGER II - \$75M
- TIGER2 - \$600M – USDOT

PROGRAMS UNDER REVIEW

- Alternatives Analysis Program - \$150M
- Transit in the Parks - \$15M





Discretionary Programs

Discretionary Funding for North Carolina:

- *Urban Circulator*
 - Charlotte Street Car: \$25M
- *Bus and Bus Facility Livability*
 - City of Asheville – Fleet Replacement: \$428,000
- *State of Good Repair*
 - City of Asheville – Transit Vehicle Replacement: \$1.4M
 - Cape Fear Public Transportation Authority – Wave Transit Operations & Maintenance Facility: \$6M
 - City of Charlotte – Maintain and Restore (MARS) Project: \$1.5M
 - CATS, Charlotte – Transit Asset Management: \$400,000





Discretionary Programs

Discretionary Funding for North Carolina:

- *TIGER 2*
 - City of Asheville – East Riverside Sustainable Communities (planning): \$850,000
- *TIGGER II*
 - CATS, Charlotte - Bus Canopy Solar PVS/Charging Project: \$1M
- *Clean Fuels/Bus and Bus Facilities*
 - City of Charlotte – Paratransit Buses: \$421,580





Civil Rights

Disadvantaged Business Enterprise (DBE)

- DBE Goals are now due every 36 months based on category (NCDOT – Category A – 8/1/2013)
- Grantees still have to complete Semi-Annual Reports (due on June 1 and Dec 1)
- Starting January 15, 2011, these reports will be submitted in TEAM
- ARRA DBE Reporting – Reports due quarterly
- December 1, 2010 – Last paper submission





Civil Rights

Equal Employment Program and Title VI

- Reports are due every 36 months
 - NCDOT Expirations
 - EEO - 2/18/2011
 - Title VI - 2/23/2011
- Submit to FTA 30-45 days prior to expiration for adequate review time
- For DOTs, please coordinate with your Highway Division on the FTA expiration due dates





Civil Rights

Civil Rights Compliance Manual

- FTA is developing a new grantee Civil Rights Compliance Manual
- The manual is a comprehensive tool to assist all grantees in meeting and maintaining Civil Rights compliance.
- Once the manual is approved it will be placed on the FTA web-site and sent to all grantees.





Civil Rights

Civil Rights Region IV Bi-Monthly Calls

- FTA Region IV has starting conducting CR Bi-Monthly Calls
- Please update your 2010 and 2011 calendars with the following dates:
 - 2010: December 6
 - 2011: January 20, March 14, May 19, July 11, September 12 and November 10
- All calls start at 10AM EST; the call in number is (877) 848 -7030; Access Code 255-1022





Coordinated Planning

5310, 5316 and 5317 Programs

- FY 2009 and 2010 funding available - \$17.8
 - 5310 (E&ID) - \$7.4M
 - 5316 (JARC) - \$5.9M
 - 5317 (New Freedom) - \$3.8M
- 10% for Admin @ 100%
- NCDOT Call for Projects?
- TIPs – Urbanized Areas
- Eligible Projects
- Non-DOT Federal Match





JARC Projects

- Operations to and from employment sites and employment related transportation activities for lower-income individuals
 - Late night and weekend service
 - Guaranteed ride home service
 - Shuttle service
 - Expanded fixed-route public transit routes
 - Demand-responsive service
 - Ridesharing and carpooling activities
 - Voucher programs





JARC Projects

- Capital
 - Vehicles
 - Intelligent Transportation Systems (ITS)
 - Promotion of operating activities
 - Mobility management activities
- Reverse Commute Activities





New Freedom Projects

- Operations Above and Beyond the ADA
- ADA Accessible Vehicles for those Operations
- Accessible Transit Shelters
- Accessible Street Medians
- Accessible Signage at Transit Stops
- Accessible Paths to Transit Stops
- Accessible Taxis





New Freedom Projects

- Volunteer Driver Aid Programs
- Medical Shuttle Programs
- Voucher Programs
- Same Day Paratransit Service
- Certified Home Care Aide to Prepare for Transportation
- Expansion of current hours for paratransit service





New Freedom/JARC Projects – Mobility Management

Mobility Management: Consists of short-range planning and management activities and projects for improving coordination among public transportation and other transportation service providers

Mobility Management is an eligible expense for the Economic Recovery Plan – 100% Federal funds





New Freedom/JARC Projects – Mobility Management

Eligible Activities:

- Support for short term management activities to plan and implement coordinated services (Development of Coordinated Plans)
- The operation of transportation brokerages to coordinate providers, funding agencies and customers (Mobility Managers)
- Customer-oriented travel navigator systems and neighborhood travel coordination activities such as coordinating individualized travel training and trip planning activities for customers; (Travel Training)





New Freedom/JARC Projects – Mobility Management

Eligible Activities:

- The development and operation of one-stop transportation traveler call centers to coordinate transportation information on all travel modes
- Operational planning for the acquisition of intelligent transportation technologies to help plan and operate coordinated systems (MSAA Initiatives)
- The support of State and local coordination policy bodies and councils





Local Match

- Planning (JARC only) – 80 Federal/20 Local
- Capital - 80 Federal/20 Local (MM)
 - 90 Federal /10 Local – ADA Equipment
 - 83 Federal /17 Local – Accessible Vehicle
- Operations – 50 Federal/50 Local





Local Match

Sources of Local Match (cont.):

- State or Local Appropriations
- Non-DOT Federal funds (handout)
- Tax Revenues
- Private Donations
- Revenue for HST Contracts
- Donations*
- Volunteer Services*
- In-Kind Contributions*





Questions?





Contact Information

David Schilling

Community Planner, State Programs
Team Leader, FTA

Email: david.schilling@dot.gov

Phone: 404.865.5645

